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APR - 9 98  
GROUP 2600

**INFORMATION DISCLOSURE  
STATEMENT**

Docket Number:  
2656/2

Application Number  
08/976,159

Filing Date  
November 21, 1997

Examiner  
Not Yet Assigned

Art Unit  
2772

Invention Title  
**ENHANCED MATCHING APPARATUS AND  
METHOD FOR POST-TRADE PROCESSING AND  
SETTLEMENT OF SECURITIES TRANSACTIONS**

Inventor(s)  
N. F. Brander et al.

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the  
United States Postal Service as first class mail in an envelope addressed  
to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: 4/3/98

Reg. No. 37,912

Signature: *Caleb J. Pollack*  
Caleb J. Pollack

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the attached references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: 4/3/98

By: *Caleb J. Pollack*  
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